

PCT RECEIPT

#3

Docket No.: 4554-003

**PATENT****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of

KITAO, YOSHITAKA

Serial No. 09/890,171

Group Art Unit: 2167

Filed: July 26, 2001

Examiner: N/A

For: METHOD OF AND APPARATUS FOR PROCESSING TRANSACTION
INFORMATION, TERMINAL UNIT FOR PERFORMING TRANSACTION AND
RECORDING MEDIUM

REQUEST FOR CORRECTED FILING RECEIPT

Honorable Commissioner of
Patents and Trademarks
Washington, DC 20231

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Sir:

Attached is a copy of the Filing Receipt received from the U.S. Patent and Trademark
Office in the above-referenced application, on which we noticed that the title is listed
erroneously. Also attached is a copy of the Declaration listing the title, which should appear as:

METHOD OF AND APPARATUS FOR PROCESSING TRANSACTION
INFORMATION, TERMINAL UNIT FOR PERFORMING TRANSACTION AND
RECORDING MEDIUM. It is requested that a corrected filing receipt be issued.

Very truly yours,

LOWE HAUPTMAN GILMAN & BERNER, LLP

Benjamin J. Hauptman
Registration No. 29,310

1700 Diagonal Road, Suite 310
Alexandria, Virginia 22314
703-684-1111 BJH:pc
Facsimile: 703-518-5499

DECLARATION FOR PATENT APPLICATION AND APPOINTMENT OF ATTORNEY

NOV 05 2001

As a below-named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name; I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention (Design, if applicable) entitled: **METHOD OF** the specification of which (check one): **AND APPARATUS FOR PROCESSING TRANSACTION INFORMATION, TERMINAL**

☒ is attached hereto.

☐ was filed on _____ as Application Serial No. _____.

☐ was filed on _____ as International Application (PCT) No. _____, and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment(s) referred to above. I acknowledge the duty to disclose information which is material to the examination of this application in accordance with *Title 37, Code of Federal Regulations, § 1.56*. I hereby claim foreign priority benefits under *Title 35, United States Code § 119* of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which the priority is claimed.

PRIOR FOREIGN APPLICATION(S)

NUMBER	COUNTRY	DAY/MONTH/YEAR FILED	PRIORITY CLAIMED
2000-018235	Japan	27/January/2000	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
			<input type="checkbox"/> Yes <input type="checkbox"/> No

I hereby claim the benefit under *Title 35, United States Code, § 120* of any United States application(s) or PCT international application(s) designating The United States of America listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of *Title 35, United States Code, § 112*, I acknowledge the duty to disclose material information as defined in *Title 37, Code of Federal Regulations, § 1.56* which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application:

APPLICATION NUMBER	FILING DATE	STATUS (Patented, Pending or Abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine, or imprisonment, or both, under *Section 1001 of Title 18 of the United States Code*, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: I (We) hereby appoint as my (our) attorneys, with full powers of substitution and revocation, to prosecute this application and transact all business in the Patent and Trademark Office connected therewith: Allan M. Lowe, Registration Number 19,641; Benjamin J. Hauptman, Registration Number 29,310; Michael G. Gilman, Registration Number 19,114; Kenneth M. Berner, Registration Number 37,093; and Randy A. Noranbrock, Registration Number 42,940.

Send correspondence to: **LOWE HAUPTMAN GILMAN & BERNER, LLP**
CUSTOMER NO. 22429
 1700 Diagonal Road, Suite 310
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TELEPHONE CALLS TO:

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I hereby authorize the U.S. attorneys and agents named herein to accept and following instructions from _____ as to any actions to be taken in the U.S. Patent and Trademark Office regarding this application without direct communication between the U.S. attorneys and the undersigned. In the event of a change in the person(s) from whom instructions may be taken, the U.S. attorneys will be so notified by the undersigned.

☐ See following page(s) for additional joint inventors.

Full Name of First or Sole Inventor	Yoshitaka KITAO	Citizenship	Japanese
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City		City	3-15, Kanda-Nishikicho, Chiyoda-ku, Tokyo
State or Country	Zip	State or country	Japan Zip 101-0054
DATE	June 19, 2001	SIGNATURE	<i>[Signature]</i>



NOV 05 2001
PATENT OFFICE

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/890,171	07/26/2001	2167	1102	4554003	55	29	4

CONFIRMATION NO. 7653

22429

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OCT 17 2001

Lowe, Hauptman, Gilman & Berner

FILING RECEIPT



OC000000006850511

Date Mailed: 10/15/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Yoshitaka Kitao, Tokyo, JAPAN;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/JP00/07071 10/12/2000

Foreign Applications

JAPAN 2000-018235 01/27/2000

Projected Publication Date: Not Applicable, filed prior to November 29, 2000

Non-Publication Request: No

Early Publication Request: No

Title

Commerce information processor, commerce terminal, commerce information processing method, and recorded medium

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Preliminary Class
705



**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

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